



UBS AG
Europastrasse 2
8152 Opfikon
Switzerland

OQ9C / O5GC-248
INFO MGMT / HEDGE FUNDS / DEFAULT
Cristoforo Pavone

+41 44 236 41 67
cristoforo.pavone@ubs.com

www.ubs.com

BY REGISTERED MAIL

U.S. Bankruptcy Court for the
Southern District of N.Y.
One Bowling Green
New York, N.Y. 10004
USA

Message

July 29, 2020

subject Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555

On behalf of Attention: Clerk of the Court
you are receiving Evidence of partial transfer of claim: Luzerner Kantonalbank AG

☐ for your information
☒ for your records
☐ as agreed
☐ please complete

☐ returned with thanks
☐ please comment
☐ please sign
☐

☐ please return
☒ please confirm receipt
☒ please process

Remarks

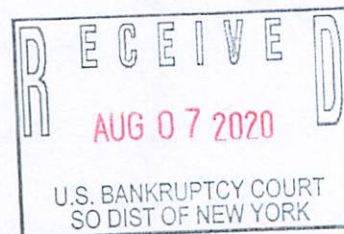
TOCE224.1 CHF 50'000.00 CH0027121034 - LBS NV
TOCE224.2 CHF 50'000.00 CH0036891361 - LBS NV

Transferor: Luzerner Kantonalbank AG / Claim Number(1): 58649.59 and Claim Number(2): 58649.59
Transferee: UBS AG, Bahnhofstrasse 45, CH-8001 Zurich (Switzerland)

Yours sincerely,

UBS AG


Cristoforo Pavone
Associate Director



EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

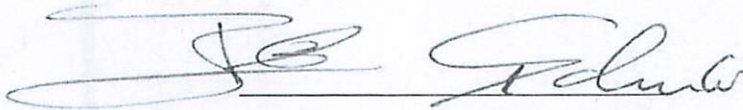
For value received, the adequacy and sufficiency of which are hereby acknowledged, **Luzerner Kantonalbank AG, Pilatusstrasse 12, CH-6002 Luzern, Switzerland** ("Transferor") unconditionally and irrevocably transferred to **UBS Switzerland AG, Bahnhofstrasse 45, 8001 Zuerich, Switzerland** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **515923.7 and 58649.59**) in the amount of **CHF 20'000** (Principal Amount) related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED THIS **21th day of July, 2020**.

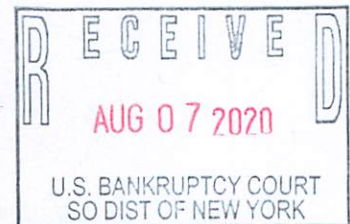
Luzerner Kantonalbank AG

By:



Name of person signing: Jörg Bächler
Title of person signing: Vice President

Josef Schuler
Holder of Procuration



SCHEDULE

Lehman Programs Securities Related to Transferred Portion of Claim:

USD 20'000 (Nominal Amount), together with interest, fees, expenses and other recoveries due

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim/Units related to Security
XS0320322901	5159233.07	10/30/2009	Lehman Brothers Securities NV	CHF 20'000. (Nominal Amount) USD 8.626.46 (Allowed Amount)
XS0320322901	58649.59			USD 5437.16 (Allowed Amount)

Blocking Number: